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in the United States Patent and Trademark Office

**US Utility Patent Application: 09/841706, filed 04/02/2001**

**Inventor(s): Wendy C. McArdle et. al.**

**Appointed Representative:**

**M. Conrad Huffstutler, #33907**

**PO Box 389**

**Liberty Hill, TX 78642**

**VOX (512) 5150-5151; FAX (512) 778-6080**

**RECEIVED**  
**JAN 04 2002**  
**TECH CENTER 1600/2900**

This communication is in response to:

1,0 PTO Requirement to document all telephone calls with PTO staff and examiners, particularly a telephone conversation of about 5 minutes duration with Ms. Stokes on 25 June 2001 relative to the exact problems reported in this Notice, i.e., the PTO form 0002 Subs was lost inside the PTO and the notice that the drawing margins were not in full compliance with 37 CFR 1.84.

2,0 Notice of Missing Parts 4364 (Form Letter 6201437) dated 19 June 2001. [Regrettably, the name and signature of the Customer Service Center Rep. are not legible.]

**1,0 Telcon Summary Ms. Stokes, 25 Jun 2001.** I explained to Ms. Stokes that I had very carefully prepared and counted each and every group of documents which make up this application. Every sheet of the 61 sheets submitted was itemized on: (a) the PTO Transmittal Form (PTO 0002/Subs) and (b) the PTO Reply Postcard (which was returned to me with no alterations or changes by the PTO). I further explained that my system of measurement is SI units and that I had very carefully measured each drawing to verify that all the margins were correct to the nearest millimeter. Ms. Stokes reported that the PTO has issued a large number of "Missing Parts" notices in the last several months.

**1,1 Application Fee.** The application was submitted with a signed-dated authorization to charge the \$355 fee (small entity) to my PTO Debit Account (11-1300). Ms. Stokes promised to try to find the case file and charge the appropriate application fee.

**1,2 Call Back.** Ms. Stokes promised to call me back in a few days to confirm: (a) the status of my original fee payment authorization and (b) what drawing margins were found to be deficient. I have not received any call-back message from Ms. Stokes on either item.

I have left 3 phone messages re this Notice with the secretary of the Applications Receiving Group on 13 July 2001 and there has been no response to date. I conclude that the application might be lost.

**2,0 Response to "Missing Parts" Notice.**

**2,1 Copy of Duplicate "FEE TRANSMITTAL" Form and Returned PTO Postcard.**

Attached is a copy of my duplicate of the original form. This form was explicitly identified on both the TRANSMITTAL SHEET and the PTO Reply Postcard. A copy of the RETURNED postcard showing no alterations is also included.



Since the initial receiving person did not mark up the postcard showing any missing part, I respectfully submit that at least the fee-payment sheet became lost inside the PTO during the time interval 24 Apr 2001 and 19 Jun 2001 -- a period of approximately 60 days.

**2,2 Request-1.** Please accept the documentation submitted as clear proof that I did submit a proper fee authorization with the application and that no parts were ever missing in the as-submitted application. Please remove the erroneous surcharge-penalty of \$65.00

**2,3 Minimum Drawing Margins.** Enclosed is a substitute duplicate set of drawings with each and every minimum margin having been hand measured to a tolerance of 0.2 mm, i.e., Top=25.0 mm, Left=25.0 mm; Right=15.0 mm and Bottom=10.0 mm. These drawings are exactly the same as the originals except for required margin corrections which were achieved by optical reductions. Appointed Representative affirms, by signature below, that no new matter has been added.

These drawings, like the originals are submitted on smooth, white, high-quality, commercial-type 20 pound (basis weight) American Letter paper, about 217 by 279 mm.

Appointed Representative has carefully reviewed the new Drawing Requirements as posed on the current PTO web page and confirms that each and every required aspect is fully satisfied.

**2,4 Request-2.** Please accept the duplicate set of drawings submitted herewith as a replacement for any non-compliant drawings.

Respectfully submitted,

*M. Conrad Huffstutler #33907*

M. Conrad Huffstutler, #33907

Date *16 July 2001*

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, DC 20231, on *16 July 2001*

*M. Conrad Huffstutler #33907*

Attachments, 4X, 27 sheets total: : Part 2 of the "Notice" - 2 sheets; Copy of PTO Reply postcard and Fee Payment Authorization - 1 sheet; American Letter-size drawing copies with all margins verified - 23 sheets; PTO Reply Postcard - 1 sheet.



JUL 16 2001

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/841,706         | 04/24/2001          | Wendy C. McArdle      | bizapp1                |

M. Conrad Huffstutler, Jr.  
PO Box 389  
Liberty Hill, TX 78642RECEIVED  
JAN 04 2002  
TECH CENTER 1600/2900

CONFIRMATION NO. 4364

FORMALITIES LETTER



\*OC000000006201437\*

Date Mailed: 06/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

16 2001

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]

COPIES  
JUL 19 2001  
& TRADEMARK

0002/PTO U.S. Dept. of Commerce  
sub. Patent & Trademark Office

Complete if Known

Application Number  
Filing date  
First Named Inventor **McArdle, Wendy C.**  
Group Art Unit  
Examiner Name  
Atty. Docket No **bizapp1**

**FEE TRANSMITTAL**

TOTAL  
AMOUNT OF **\$355.00**

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Acct. No. **11-1300**  
Deposit Acct. Name **MC Huffstutler, Jr**

2. ☐ Payment Enclosed.

(reserved space)

☐ Check  
☐ Money Order  
☐ Other

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17

☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of Notice of Allowance

**FEE CALCULATION (fees effective 10/01/97)**

**1. FILING FEE**

| Large Entity      | Small Entity  |             |                 |
|-------------------|---------------|-------------|-----------------|
| Fee Code (\$)     | Fee Code (\$) | Description | Fee Paid        |
| 101               | 201           | 355 Utility | 355             |
| 106               | 206           | Design      |                 |
| 107               | 207           | Plant       |                 |
| 108               | 208           | Reissue     |                 |
| SUBTOTAL (1) (\$) |               |             | <b>\$355.00</b> |

**2. CLAIMS**

| count                | extra         | fee                  | Fee Paid      |
|----------------------|---------------|----------------------|---------------|
| Total Claims         | 20            | 0                    | 11 0          |
| Independent Claims   | 3             | 0                    | 41 0          |
| Multiple Dep. Claims | 0             | 0                    | 135 0         |
| Large Entity         | Small Entity  |                      |               |
| Fee Code (\$)        | Fee Code (\$) | Description          | Fee Paid      |
| 102                  | 202           | 41 Ind. claims >3    |               |
| 103                  | 203           | 11 Tot. claims >20   |               |
| 104                  | 204           | 135 Mul. dep. claims |               |
| 109                  | 209           | Reissue ind. cl. > 3 |               |
| 110                  | 210           | Reissue cl. > 20     |               |
| SUBTOTAL (2)         |               |                      | <b>\$0.00</b> |

Other fee (specify)  
Other fee (specify)

SUBTOTAL (3)

**\$0.00**

**3. ADDITIONAL FEES**

| Large Entity  | Small Entity  |   |          |
|---------------|---------------|---|----------|
| Fee Code (\$) | Fee Code (\$) | Description   | Fee Paid |
| 105           | 205           | Surcharge-late filing fee or oath                               |          |
| 139           | 139           | Non-English specification                                       |          |
| 147           | 147           | For filing a request for reexamination                          |          |
| 112           | 112           | Requesting publication of SIR prior to Examiner action          |          |
| 113           | 113           | Requesting publication of SIR after Examiner action             |          |
| 115           | 215           | Extension for response within first month                       |          |
| 116           | 216           | Extension for response within second month                      |          |
| 117           | 217           | Extension for response within third month                       |          |
| 118           | 218           | Extension for response within fourth month                      |          |
| 119           | 219           | Notice of Appeal  |          |
| 120           | 220           | Filing a brief in support of an appeal                          |          |
| 121           | 221           | Request for oral hearing  |          |
| 138           | 138           | Petition to institute a public use proceeding                   |          |
| 140           | 240           | Petition to revive unavoidably abandoned application            |          |
| 141           | 241           | Petition to revive unintentionally abandoned application        |          |
| 142           | 242           | Utility issue fee (or reissue)                                  |          |
| 143           | 243           | Design issue fee  |          |
| 144           | 244           | Plant issue fee   |          |
| 122           | 122           | Petitions to the Commissioner                                   |          |
| 126           | 126           | Submission of Information Disclosure Stmt                       |          |
| 581           | 581           | Recording each patent assignment per property (x no properties) |          |
| 114           | 214           | Provisional Application filing                                  |          |

|                       |                                     |                                 |                               |
|-----------------------|-------------------------------------|---------------------------------|-------------------------------|
| <b>SUBMITTED BY</b>   |                                     | <b>Complete (if applicable)</b> |                               |
| Type or Printed Name: | <b>M. Conrad Huffstutler, Jr.</b>   | Reg. Number                     | <b>33907</b>                  |
| Signature             | <i>M. Conrad Huffstutler #33907</i> | Deposit Account User ID         | <b>11-1300 MC Huffstutler</b> |
| Date                  | <b>24 Apr 2001</b>                  |                                 |                               |

*Express*

Commissioner of Patents and Trademarks, Washington, DC 20231, on **24 April 2001**

*M. Conrad Huffstutler #33907*

PTO CARD -- PLEASE RETURN BY US MAIL

UTILITY APP: McArdle et al; TITLE: Dynamic ... Browsers

LISTING OF ITEMS SUBMITTED:

- 1,0 App transmittal form (0002-trans) 1 + Agt. Appt 1
- 2,0 Fee payment auth form (0002-fee) 1
- 3,0 Inventor declaration (0010 sigs 3 X) 1
- 4,0 Small entity statement 1
- 5,0 Assignment forms (3 X) 3
- 6,0 Agent appointment 1
- 7,0 Specification, claims, abstract 27 sheets 27
- 8,0 Informal figures, 23 sheets 23
- 9,0 Express mail certificate 1
- 10,0 PTO reply postcard 1

SUBMITTED **24 Apr 2001** *M. Conrad Huffstutler #33907*

*61 sheets total*

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*M. Conrad Huffstutler #33907*

IC986 U.S. PTO  
**09/841706**  
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